

11-04-02

Receipt
S1112 #6 \$

Express Mail Label No.: EV054302358US
Date of Deposit: October 31, 2002

Attorney Docket No. 21402-222 (Cura-522)



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Shimkets *et al.*
SERIAL NUMBER: 10/028,248 EXAMINER: Not yet assigned
FILING DATE: December 19, 2001 ART UNIT: 1643
FOR: NOVEL NUCLEIC ACIDS AND POLYPEPTIDES AND METHODS OF USE THEREOF

Office of Initial Patent Examination's Customer Service Center
Commissioner for Patents
Washington, D.C. 20231

REQUEST FOR CORRECTED FILING RECEIPT

1. Applicants request a Corrected Filing Receipt for the above-mentioned patent application. Applicants enclose a copy of the Filing Receipt with the corrections noted thereon. Issuance of a corrected filing receipt is respectfully requested.
2. There are errors with respect to the following data, which are incorrectly entered or ommitted.

<i>Error in</i>	<i>Correct data</i>
Applicant(s) Name	Ferenc L. Boldog
Applicant(s) Address	Ramesh Kekuda, Norwalk, CT Xiaohong Liu, Lexington, MA Mark Rothenberg, Clinton, CT
Attorney of Record	Ivor R. Elrifi, Esq.

Applicants believe that no fee is due with the submission of this paper. However, the Commissioner is hereby authorized to charge any fees that may be due, or credit any overpayment of same, to Deposit Account No. 50-0311, Reference No. 21402-222 (Cura-522).

Respectfully submitted,

for. Clara E. Bell Reg. No. 48, 128
Ivor R. Elrifi, Reg. No. 39,529
Attorney for Applicants
c/o MINTZ, LEVIN
One Financial Center
Boston, Massachusetts 02111
Tel: (617) 542-6000
Fax: (617) 542-2241

Dated: October 31, 2002

TRA 1666950v1



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PATENT TRADEMARK OFFICE

Express Mail Label No.: EV054302358US

Date of Deposit: October 31, 2002

Attorney Docket No. 21402-222 (Cura-522)

#4.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Shimkets *et al.*

SERIAL NUMBER: 10/028,248

EXAMINER: Not yet assigned

FILING DATE: December 19, 2001

ART UNIT: 1643

FOR: NOVEL NUCLEIC ACIDS AND POLYPEPTIDES AND METHODS OF USE THEREOF

BOX MISSING PARTS

Commissioner for Patents

Washington, D.C. 20231

TRANSMITTAL LETTER

The following are enclosed herewith for filing in the above-identified application:

1. Response to Notice to File Missing Parts (2 pgs.);
2. Copy of Notice to File Missing Parts (2 pgs.);
3. Executed Combined Declaration and Power of Attorney (4 counterparts, 7 pgs. each, 28 pgs. total);
4. Initial Paper Copy of the "Sequence Listing" (289 pgs.);
5. Initial Computer Readable Form Copy of the "Sequence Listing" (1 diskette);
6. Statement in Support of Computer Readable Form Submission Under 37 C.F.R. § 1.821(f) (1 pg.);
7. Preliminary Amendment (3 pgs.);
8. Check No. 14513 in the amount of \$130.00 to cover the fee under § 1.16(l);
9. Petition for a five month extension of time (1 pg.);
10. Check No. 14512 in the amount of \$1,960.00 to cover the fee under § 1.17(a)(5);
11. Request for a corrected filing receipt (2 pgs.);
12. Copy of the original filing receipt showing changes made (3 pgs.); and
13. Return postcard.

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at the number below.

USSN 10/114,153
Applicants: Padigaru, *et al.*

The Commissioner is hereby authorized to charge any additional fees that may be due, or credit any overpayment, to Deposit Account No. 50-0311, Reference No. 21402-222 (Cura-522). A duplicate copy of this transmittal letter is enclosed.

Respectfully submitted,

for: Chau E. Bell Reg. No. 48, 128
Ivor R. Elrifi, Reg. No. 39,529
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Dated: October 31, 2002

TRA 1712080v1



Express Mail Label No.: EV054302358US
Date of Deposit: October 31, 2002

Attorney Docket No. 21402-222 (Cura-522)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Shimkets *et al.*
SERIAL NUMBER: 10/028,248 **EXAMINER:** Not yet assigned
FILING DATE: December 19, 2001 **ART UNIT:** 1643
FOR: NOVEL NUCLEIC ACIDS AND POLYPEPTIDES AND METHODS OF USE THEREOF

BOX Missing Parts
Commissioner for Patents
Washington, D.C. 20231

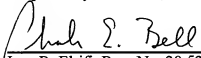
RESPONSE TO NOTICE TO FILE MISSING PARTS

In response to the Notice To File Missing Parts, mailed April 18, 2002, in the above-referenced application, Applicants submit herewith the following:

- a copy of the Notice to File Missing Parts of Nonprovisional Application (2 pgs.);
- an executed Combined Declaration and Power of Attorney (4 counterparts, 7 pgs. each, 28 pgs. total);
- an initial paper copy of the Sequence Listing (289 pgs.);
- a computer readable form (CRF) copy of the Sequence Listing (1 disk);
- an initial statement in support of the CRF, in compliance with 37 C.F.R. §§ 1.821-1.825 (1 pg.);
- a Preliminary Amendment (3 pgs.);
- payment of the \$130.00 surcharge for late filing (Check No. 14513);.
- request for a Corrected Filing Receipt (2 pgs.); and
- a copy of the original Filing Receipt showing changes (3 pgs.).

Applicants also enclose herewith a petition for a five month extension of time pursuant to 37 C.F.R. §1.136(a) and Check No. 14512 in the amount of \$1,960.00, as required by 37 C.F.R. §1.17(a)(5). With the extension of time, the present Response is due on or before November 18, 2002. Applicants believe that no additional fees are due with this filing. However, the Commissioner is hereby authorized to charge any additional fees due, or credit any overpayment of same, to Deposit Account No. 50-0311, Reference No. 21402-222 (Cura-522).

Respectfully submitted,

for:  *Reg. No. 48, 128*
Ivor R. Elrifi, Reg. No. 39,529

Attorney for Applicants

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Dated: October 31, 2002

TRA 1671174v1



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/028,248	12/19/2001	Richard A. Shinkets	21402-222 (Cura-522)

CONFIRMATION NO. 2131

FORMALITIES LETTER



0C00000007911451

MINTZ, LEVIN, COHN, FERRIS,
GLOVSKY and POPEO, P.C.
One Financial Center
Boston, MA 02111



Date Mailed: 04/18/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.
- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at


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patin21help@uspto.gov or patin3help@uspto.gov

A copy of this notice MUST be returned with the reply.



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/028,248	12/19/2001	1643	2114	102-222- (Cura-522)		59	11

Ivor R. Elfrif

MINTZ, LEVIN, COHN, FERRIS,
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RECEIVED

APR 24 2002

MINTZ LEVIN, BOSTON
PATENT DOCKET DEPT.



CONFIRMATION NO. 2131

FILING RECEIPT



OC000000007911450

Date Mailed: 04/18/2002

Receipt is acknowledged on this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

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Ferenc L. Boldog, North Haven, CT;
Li Li, Branford, CT;

<input checked="" type="checkbox"/> Date Entry	Done By
<input type="checkbox"/> Docket Entry	Jan
<input checked="" type="checkbox"/> Docket Cross Off	
<input type="checkbox"/> Previously Entered	
<input type="checkbox"/> No Docketing Req	
<input type="checkbox"/> ELITE	
<input type="checkbox"/> Annulments	

Ferenc L. Boldog

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Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/256,619 12/19/2000

AND CLAIMS BENEFIT OF 60/262,959 01/19/2001
AND CLAIMS BENEFIT OF 60/272,408 02/28/2001
AND CLAIMS BENEFIT OF 60/285,189 04/20/2001
AND CLAIMS BENEFIT OF 60/308,039 07/26/2001
AND CLAIMS BENEFIT OF 60/311,266 08/09/2001

Foreign Applications

If Required, Foreign Filing License Granted 04/18/2002

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

Title

Novel nucleic acids and polypeptides and methods of use thereof

Preliminary Class

435

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING

LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).